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V6

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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09/421,213    10/20/99    O'BRIEN    T    D6064CIP

MCGREGOR AND ADLER  
8011 CANDLE LANE  
HOUSTON TX 77071

HM12/0218

EXAMINER

HARRIS, A

ART UNIT

PAPER NUMBER

1642

DATE MAILED:

02/18/00

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner of Patents and Trad marks**



UNITED STATES DEPARTMENT OF COMMERCE  
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Washington, D.C. 20231

DEA/FCE-1994

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.

FILE COPY

EXAMINER	
ART UNIT	PAPER NUMBER
	8

DATE MAILED:

Please find below a communication from the EXAMINER in charge of this application

Commissioner of Patents

1. The reply filed 12/21/99 is not fully responsive to the communication mailed 12/6/99 for the reason(s) set forth on the enclosed copy of the CRF Diskette Problem Report. Since the above-mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of **ONE (1) MONTH** or **THIRTY (30) DAYS**, from the mailing date of this notice, whichever is longer, within which to supply the omission or correction in order to avoid abandonment. EXTENSIONS OF THIS TIME LIMIT MAY BE GRANTED UNDER 37 CAR 1.136(a). Direct the response to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the response.
2. Any inquiry concerning this communication should be directed to Examiner Alana M. Harris, Art Unit 1642, whose telephone number is (703)306-5880.
3. Any questions regarding compliance with the sequence rules requirements specifically should be directed to the departments listed at the bottom of the Notice to Comply.

Alana M. Harris, Ph.D.  
Patent Examiner  
Group 1642

NANCY A. JOHNSON, PH.D.  
PRIMARY EXAMINER

Application No.: 89/421,213

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
- ☒ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**

01/421,213A

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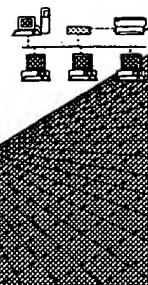
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Extracellular Serine Protease Overexpressed in Carcinomas &lt;130 D6064CIP
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↓  
submitted file is in hypertext format, not ASCII, as  
required  
by sequence  
rules

A. Harris

1642

BIOTECHNOLOGY  
SYSTEMS  
BRANCH



## CRF Diskette Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following CRF diskette:

Application Serial Number: 09/421213A

Filing Date: 10/20/98

Classification: 424

Date Processed by STIC: 1/11/2000

Point-of-Contact/Telephone No.: Mark Spencer 703 - 308 - 4212

### Nature of Problem:

The diskette was:

- ☐ Damaged
- ☐ Unreadable
- ☐ Blank (no files present on diskette)
- ☐ Contained an empty file (filename present, but no bytes in file)
- ☐ A computer virus was detected on the diskette. The STIC will not process the diskette through the Data Capture System.

Name of virus: \_\_\_\_\_

☒ The Sequence Listing was not saved in ASCII (DOS) text. *(see attached)*

☐ The diskette contained an error that disrupted normal processing:

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